

CRS Report for Congress

Received through the CRS Web

FY2007 Appropriations for State and Local Homeland Security

Shawn Reese
Analyst in American National Government
Government and Finance Division

Summary

The FY2007 Department of Homeland Security appropriations (P.L. 109-295) provides approximately \$3.0 billion for state and local homeland security assistance programs — \$41 million more than Congress appropriated for these programs in FY2006. P.L. 109-295 does not modify or alter the distribution method that allocates funding from the programs to states and localities.

The Administration had proposed approximately \$2.6 billion for these programs and proposed to consolidate the infrastructure security grant programs into the Targeted Infrastructure Protection Program. Additionally, the Administration had proposed to change the current distribution method used to allocate State Homeland Security Grant Program funds to states and did not request funding for the Law Enforcement Terrorism Prevention Program. The House had proposed approximately \$2.8 billion for these programs, and the Senate had proposed approximately \$2.9 billion. Neither the House or Senate versions of H.R. 5441, nor P.L. 109-295 include appropriations for a consolidated Targeted Infrastructure Protection Program.

This report is an overview of the FY2007 Department of Homeland Security (DHS) appropriations (P.L. 109-295); the Administration's budget request, and the House- and Senate-passed versions of H.R. 5441 (FY2007 DHS appropriations) for the following seven homeland security programs designed to provide assistance to state and local first responders — firefighters, emergency medical personnel, emergency managers, and law enforcement officers:

- State Homeland Security Grant Program (SHSGP);
- Targeted Infrastructure Protection Program (TIPP) — initially proposed in FY2006, but not given budget authority for that fiscal year;
- Urban Area Security Initiative (UASI);
- Law Enforcement Terrorism Prevention Program (LETPP);
- Assistance to Firefighters (FIRE);
- Emergency Management Performance Grants (EMPG); and
- Citizen Corps (CCP).

Report Documentation Page			Form Approved OMB No. 0704-0188	
<p>Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.</p>				
1. REPORT DATE 06 OCT 2006	2. REPORT TYPE N/A	3. DATES COVERED -		
4. TITLE AND SUBTITLE FY2007 Appropriations for State and Local Homeland Security			5a. CONTRACT NUMBER	
			5b. GRANT NUMBER	
			5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)			5d. PROJECT NUMBER	
			5e. TASK NUMBER	
			5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Congressional Research Service The Library of Congress 101 Independence Ave. SE Washington, DC 20540			8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)			10. SPONSOR/MONITOR'S ACRONYM(S)	
			11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release, distribution unlimited				
13. SUPPLEMENTARY NOTES				
14. ABSTRACT				
15. SUBJECT TERMS				
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT SAR	18. NUMBER OF PAGES 5
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified		
19a. NAME OF RESPONSIBLE PERSON				

The seven programs, which are intended to help state and local recipients enhance their preparedness for terrorist attacks and catastrophic events, are administered by the Office of Grants and Training (G&T) in the Department of Homeland Security (DHS).¹

FY2007 Budget Request. The Administration proposed approximately \$2.57 billion for state and local homeland security assistance programs for FY2007 — \$395 million less than Congress appropriated for the programs in FY2006. This was a 13.3% reduction in aggregate budget authority.

House-Passed H.R. 5441. The House-passed H.R. 5441 proposed approximately \$2.84 billion for state and local homeland security assistance programs for FY2007 — \$129 million less than appropriated in FY2006. This was a 4.4% reduction in aggregate budget authority.

Senate-Passed H.R. 5441. The Senate-reported version proposed approximately \$2.94 billion for state and local homeland security assistance programs for FY2007 — \$23 million less than appropriated in FY2006. This was a 0.7% reduction in aggregate budget authority.

P.L. 109-295. The FY2007 DHS appropriation provides approximately \$3 billion for state and local homeland security assistance programs for FY2007 — \$41 million more than appropriated in FY2006. This is a 1.3% increase in aggregate budget authority.

Table 1, on the following page, compares the proposed and actual appropriations for these programs.

¹ Programs not covered include general assistance programs that are authorized for a broad range of public safety activities, such as the Justice Assistance Grants and Community-Oriented Policing Services. Nor does this report track appropriations for bioterrorism preparedness and National Guard funding.

**Table 1. State and Local Homeland Security Assistance Programs:
FY2006 Appropriations, Budget Authority Request, House- and
Senate-passed Appropriations, and Appropriations for FY2007**
(amounts in millions)

Programs	FY2006 Approp.	FY2007 Request	House-Passed	Senate-Passed	P.L. 109-295
State Homeland Security Grant Program	\$550	\$633	\$545	\$500	\$525
Urban Area Security Initiative	\$740	\$838	\$750	\$745	\$770
Urban Area Security Initiative Infrastructure Sub-grants	\$415	—	\$415	\$427	\$459
<i>Port Security</i>	\$175	—	\$200	\$210	\$210
<i>Rail Security</i>	\$150	—	\$150	\$150	\$175
<i>Trucking Industry Security</i>	\$5	—	\$5	\$5	\$12
<i>Intercity Bus Security</i>	\$10	—	\$10	\$12	\$12
<i>Non-Governmental Organizations Security</i>	\$25	—	—	—	— ²
<i>Buffer Zone Protection</i>	\$50	—	\$50	\$50	\$50
Targeted Infrastructure Protection Program	—	\$600	—	—	—
Law Enforcement Terrorism Prevention Program	\$400	—	\$400	\$350	\$375
Assistance to Firefighters Program	\$655	\$293	\$540	\$680	\$662
Emergency Management Performance Grants	\$185	\$170	\$186	\$220	\$200
Citizen Corps Programs	\$20	\$35	—	\$20	\$15
Total	\$2,965	\$2,570	\$2,836	\$2,942	\$3,006

Source: P.L. 109-90 (FY2006 DHS appropriations); U.S. Office of Management and Budget, *Fiscal Year 2007 Budget of the United States Government* (Washington: GPO, Feb. 2006), Appendix, pp. 507-509; H.R. 5441 (passed by the House and Senate); and P.L. 109-295 (FY2007 DHS appropriations).

* The Targeted Infrastructure Protection Program was given no budget authority for FY2006, although six infrastructure security programs, which the Administration proposes to consolidate into the Targeted Infrastructure Protection Program, had aggregate budget authority of \$415 million for FY2006. The percentage change of 44.6% is relative to \$415 million.

² P.L. 109-295 does not allocate a specific amount for non-governmental organization security, instead it directs the DHS Secretary to provide any remaining funding, following the allocation of UASI grants, to these organizations at the Secretary's discretion.

The President's budget also included structural proposals for these programs. First, the Administration proposed to consolidate six infrastructure security grants into the Targeted Infrastructure Protection Program (TIPP).³ The consolidation was initially proposed in the FY2006 budget request, but Congress chose to fund those programs as part of the budget authority for the Urban Area Security Initiative (UASI) grants. TIPP allocations would have been at the discretion of the DHS Secretary. Second, the Administration proposed to change the current distribution formula used to allocate State Homeland Security Grant Program (SHSGP) funds to states. The Administration would have reduced the guaranteed amount to each state from 0.75% to 0.25% of total SHSGP appropriations.⁴ DHS would have allocated the remaining appropriations for SHSGP on the basis of risk, threat, vulnerability, and unmet capabilities; and UASI funding would have also been allocated based on risk, threat, vulnerability, and unmet capabilities, as determined by the DHS Secretary. P.L. 109-295 does not change the distribution method for the programs.

The House- and Senate-passed versions of H.R. 5441 did not propose funding for a consolidated Targeted Infrastructure Protection Program. The House and Senate versions of H.R. 5441 proposed separate appropriations for port, rail, intercity bus, trucking industry, and buffer zone protection security programs. P.L. 109-295 does not consolidate the separate programs into a consolidated TIPP.

In the conference report accompanying P.L. 109-295 (H.Rept. 109-699), the conferees stated they were disturbed by the delay in issuing the final National Preparedness Goal,⁵ and absent the final version of the Goal, national preparedness lacks clear direction and grant funds cannot be most efficiently allocated to states and localities. Additionally, the conference report directs G&T to distribute grant funding in the same manner as FY2006. G&T is to ensure that terrorism-focused program funding is not to be directed to all-hazard activities, and G&T is to continue to evaluate SHSGP, LETPP, and UASI applications based on risk and on how effectively these grants will address identified state and local homeland security needs.⁶

Congress directs the Government Accountability Office (GAO) to report on the validity, relevance, reliability, timeliness, and availability of the risk factors, and the application of those factors in the allocation of UASI, and the six infrastructure security programs to the Committees on Appropriations no later than 45 days after enactment of P.L. 109-295.⁷

³ The six UASI sub-grants include port, rail, trucking industry, intercity bus, non-governmental organizations, and buffer zone protection security programs.

⁴ P.L. 109-90 (FY2006 DHS appropriations), Title III, provides a guaranteed amount of 0.75%.

⁵ For more information on the National Preparedness Goal, see CRS Report RL33583, *Homeland Security Grants: Evolution of Program Guidance and Grant Allocation Methods*, by Shawn Reese.

⁶ H.Rept. 109-699.

⁷ Ibid.

For additional homeland security assistance programs not shown in **Table 1**, Congress appropriated the following:

- Commercial Equipment Direct Assistance Program — \$50 million;
- National Domestic Preparedness Consortium — \$145 million;
- National Exercise Program — \$49 million;
- Metropolitan Medical Response System — \$33 million;
- Technical Assistance — \$18 million;
- Demonstration Training Grants — \$30 million;
- Continuing Training Grants — \$31 million;
- Evaluations and Assessments — \$19 million; and
- Rural Domestic Preparedness Consortium — \$12 million.⁸

⁸ Ibid.